

Provider records

Safeguarding and Welfare Requirement: Information and Records

Providers must maintain records and obtain and share information to ensure the safe and efficient management of the setting and to help ensure the needs of all children are met.

Policy statement

We keep records and documentation for the purpose of maintaining our Nursery. These include:

- Records pertaining to our registration.
- Landlord/lease documents and other contractual documentation pertaining to amenities, services and goods.
- Financial records pertaining to income and expenditure.
- Risk assessments.
- Employment records of [our/my] staff including their name, home address and telephone number.
- Names, addresses and telephone numbers of anyone else who is regularly in unsupervised contact with the children.

[We/I] consider [our/my] records as confidential based on the sensitivity of information, such as with employment records. These confidential records are maintained with regard to the framework of the [General Data Protection Regulations \(2018\)](#), further details are given in our [Privacy Notice](#) and the Human Rights Act (1998).

This policy and procedure should be read alongside [our/my] [Privacy Notice](#), Confidentiality and Client Access to Records Policy and Information Sharing Policy.

Procedures

- All records are [the responsibility of our management team who/my responsibility and I] ensure they are kept securely.
- All [our/my] records are kept in an orderly way in files and filing is kept up-to-date.
- [Our/My] financial records are kept up-to-date for audit purposes.
- [We/I] maintain health and safety records; these include risk assessments, details of checks or inspections and guidance etc.
- [Our/My] Ofsted registration certificate is displayed.
- [Our/My] Public Liability insurance certificate is displayed.

- All [our/my] employment and staff records are kept securely and confidentially.

[We/I] notify Ofsted of any:

- change in the address of [our/my] premises;
- change to [our/my] premises which may affect the space available to [us/me] or the quality of childcare [we/I] provide;
- change to [the name and address of our registered provider, or the provider's contact information/my name, address or contact information];
- [For group provision: change to the person managing [our/my] provision];
- [For childminding provision: change to any person living or working on my premises;]
- significant event which is likely to affect [our/my] suitability to look after children; or
- other event as detailed in the *Statutory Framework for the Early Years Foundation Stage* (DfE 2017).

Legal framework

- **General Data Protection Regulations (GDPR) (2018)**
- Human Rights Act 1998

This policy was adopted by _____ (name of provider)

On 09/01/2019 (date)

Date to be reviewed 09/01/2020 (date)

Signed on behalf of the provider

Name of signatory

Role of signatory (e.g. chair, director or owner)

Other useful Pre-school Learning Alliance publications

- Accident Record (2013)
- Accounts Record (2015)
- Safeguarding Children (2013)
- Recruiting Early Years Staff (2016)
- People Management in the Early Years (2016)
- Financial Management (2010)
- Medication Administration Record (2015)
- Daily Register and Outings Record (2015)
- Managing Risk (2009)
- Complaint Investigation Record (2015)